

Securities Customer Due Diligence: Trusts

- Complete this form if you have applied for an offshore product with PSG Securities Ltd.
- The form must also be completed if the trust is funding or is involved with the investment of an individual or company.
- If Platform Securities deems a client to pose a higher money laundering risk, an Enhanced Due Diligence (EDD) process may be performed, and additional information will be required. For more information on the EDD process, please [click here](#).
- Send the completed form to instructions@psg.co.za.
- If you need assistance, please contact your financial adviser or one of our client service consultants on 0860 774 774 or at clientservice@psg.co.za.

Account holder details

Trust name	<input type="text"/>
Trust number	<input type="text"/>
Purpose of the trust	<input type="text"/>
Income tax number	<input type="text"/>
Contact number	<input type="text"/>

Visit our [website](#) for a list of FICA documents for trusts.

Please submit the following FICA documents for all individuals involved in the trust (beneficiary, settlor, trustee)

- A certified copy of your ID (or your passport if you are not a South African citizen)
- A certified proof of address (less than three months old)

Please provide the information of the beneficiaries of the trust below.

Full name(s) and surname	Date of birth	Residential address

Provide the information for the trustees and settlor(s) of the trust below.

Full name(s) and surname	Date of birth	Residential address

Account details

How will the account be opened?

☐ In person

☐ Online

☐ Via post

Size of proposed investment (ZAR) with PSG

Size of existing business (ZAR) with PSG (if applicable)

What is the intended purpose of the account?

Anticipated frequency of the account activity

Estimated net worth of trust

Where does the money come from?

We are required by law to ask where the money comes from, and in some cases to verify the source of the investment. Please tick the appropriate blocks in the table below.

Source of funds		Approximate amount (ZAR)
<input type="checkbox"/>	Salary	
<input type="checkbox"/>	Owner of company and profits (If selected, complete the " Customer Due Diligence form: Companies ")	
<input type="checkbox"/>	Owner of company and sale of company assets (If selected, complete the " Customer Due Diligence form: Companies ")	
<input type="checkbox"/>	Loan accounts	
<input type="checkbox"/>	Property sale	
<input type="checkbox"/>	Investments/savings	
<input type="checkbox"/>	Share sale	
<input type="checkbox"/>	Gift	
<input type="checkbox"/>	Donations	
<input type="checkbox"/>	Lotteries	
<input type="checkbox"/>	Compensation payment	
<input type="checkbox"/>	Other (please specify) <input type="text"/>	

What is the source of wealth?

We are required to confirm your source of wealth and how you acquired it. Please complete the section below and tick the appropriate blocks for your source of wealth.

Please provide a brief history of how the trust was established and what funds were used (with specific focus on the settlor and trustees). Note that information for anyone who contributed funds should be provided, whether they are living or deceased.

Source of wealth		Approximate amount (ZAR)
	Salary	
	Owner of company and profits	
	Owner of company and sale of company assets	
	Loan accounts	
	Property sale	
	Investments/savings	
	Share sale	
	Gift	
	Donations	
	Lotteries	
	Compensation payment	
	Other (please specify)	

Signatures

I hereby declare that:

- to the best of my knowledge, the information provided in these forms is true and correct.
- I have read and understood the [terms and conditions](#) of PSG Securities' offshore services provider and custodian. I have read and understood the features of the PSG Wealth Offshore share Trading Account as outlined in the [Key Information Document](#).

Investor

Investor signature

Date

If you are signing on behalf of the investor, please provide your details below.

Name

Surname

ID/Passport number

Designation

Signature

Financial adviser

Adviser signature

Date

Contact details

Physical address: Building 1, The Ingress, Corner of Magwa and Lone Creek Crescents, Waterfall City, Waterfall, 2090, Gauteng, South Africa

Postal address: PO Box 61295, Marshalltown, 2107, South Africa

Sharecall: 0860 774 774

Email: clientservices@psg.co.za

Website: www.psg.co.za



Annexures to CDD form for Trusts

Annexure A - Acceptable documents for proof of ID and proof of address

ID for Trust

Certified copy of the trust deed, Letters of Authority/Deed of Appointment

Address for Trust

N/A (no proof of address required for trusts)

ID for individuals involved in the trust (beneficiary, settlor, trustee)

Valid official identification document for the individuals (passport, national identity card or equivalent document in the country) bearing their photograph

Address for individuals involved in the trust (beneficiary, settlor, trustee)

Documents accepted as proof of address:

- Utility bill, e.g. municipal water and lights account or property managing agent statement
- Bank statement
- Municipal councillor's letter
- Tax certificate
- Recent active lease or rental agreement
- Municipal rates and taxes invoice not older than 3 months
- Account statement from an NCR (National Credit Regulator) registered service provider (NCR number must be visible/recorded on the document)
- Security service providers registered with PSIRA (Private Security Industry Regulatory Authority), e.g. Chubb, ADT (PSIRA number must be visible/recorded on the document)
- Telephone or cellular telephone statement
- Official SARS document (not eFiling documentation)
- Valid television license renewal letter
- Television license renewal/confirmation letter
- Subscription TV, e.g. MultiChoice statement
- Home loan statement
- Long/short-term insurance policy documents from a Financial Services Provider (FSCA number must be visible/recorded on the document)
- Motor vehicle registration/license documents
- Body corporate/governing body letter or statement
- Official employer letter for employees residing on company/ institution premises
- Official university/technicon/college or tertiary institution registration letter
- Posted traffic fine from a Metro police department (e-toll statements are not accepted)
- Medical aid statement or policy document (policy number must be visible on the document)
- Letter from municipality confirming residential address or business address (for business clients)
- Tribal authority letter confirming residential address or business address (for business clients)
- Signed letter from an independent auditor/accountant on their company letterhead confirming physical/trading/operational address for the business (for business clients).
- Affidavit to confirm address (if none of the above available – but affidavit to be used in exceptional circumstances)

All of the documents listed above must be certified and be less than 3 months old, or in force at the date of submission to Platform Securities.

List of documents more than 3 months old that may also be used as proof of address:

- Signed lease or rental agreement (less than one year old)
- Home loan statement from another financial institution (less than six months old)
- Official SARS document (less than one year old)
- Long/Short-term insurance policy documents from another financial services provider (less than one year old)
- Body corporate/governing body letter or statement (less than one year old)
- Motor vehicle registration/license documents (less than one year old)
- Television license renewal confirmation letter (less than one year old)
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Annexure B - Accepted documents to evidence the trustees/trust's representatives

Elements identifying the trusts's representatives/trustee - Any of the below documents:

- Copy of the minutes of the general meeting or board of trustees meeting designating the representatives and their powers;
- Official identification document for the legal representatives (passport, national identity card or equivalent document in the country)
- Official identification document for the authorised representatives or holders of mandates or delegations