

## CRS and FATCA certification form – Entities

- The completion of this form is a compulsory legislative requirement.
- You are required to use this form to declare whether or not the entity who is investing with PSG is responsible for tax outside of South Africa.
- If the entity has tax responsibilities in another country, PSG is required to provide information to the South African Revenue Service which they may share with the relevant foreign tax authority in terms of the Foreign Account Tax Compliance Act (FATCA) and the Organisation for Economic Cooperation and Development's (OECD) Common Reporting Standard (CRS).
- Send the completed form to [crs@psg.co.za](mailto:crs@psg.co.za).
- If you need assistance, please contact your financial adviser or one of our client service consultants on 0860 774 774 or at [clientservice@psg.co.za](mailto:clientservice@psg.co.za).

We cannot help you complete this form because it may be seen as providing tax advice, which we are not allowed to do. If you need help completing this form, we recommend that you get independent tax advice from a tax professional.

Many of the terms used in this form have specific technical meanings. If a word or phrase is in **bold**, you should look it up in the CRS and FATCA Certification Form Glossary available [on our website](#).

### Entity details

Entity name

Entity registration number

Investment number

Country of **incorporation or organisation**

### Country of residence for tax purposes

Is the **entity** a registered taxpayer in South Africa? ☐ Yes ☐ No

South African income tax number

Is the **entity** incorporated, organised or does it have a **place of effective management** outside of South Africa? ☐ Yes ☐ No

Does the **entity** have tax obligations, tax liabilities or tax residencies outside of South Africa? ☐ Yes ☐ No

Do any of the **controlling persons** of the entity:

- have tax obligations, tax liabilities or tax residencies outside of South Africa? ☐ Yes ☐ No
- hold citizenships or nationalities outside of South Africa ☐ Yes ☐ No
- qualify as a **US person** or **US national**? ☐ Yes ☐ No

If the answer to all of these questions is 'No' please proceed to the 'Financial Institutions' section of this form.

If the answer to any of the above questions is 'Yes', please indicate all countries in which the entity is tax resident or has tax responsibilities, or both. And then complete the rest of this form.

	Country(ies) of tax residency	Tax Identification Number (If you do not have a TIN, please provide reason)
1.		
2.		
3.		



## United States tax residency

Is the **entity** incorporated in or organised in the **US**? ☐ Yes ☐ No

Does the **entity** have any tax obligations in the **US**? ☐ Yes ☐ No

Is the entity a trust over which the **US** would have jurisdiction, or a trust where one or more **US person(s)** would have the authority to control the decisions of the trust? ☐ Yes ☐ No

If you answered 'Yes' to any of these questions, please complete an [IRS W-9](#) form.

**Please proceed to the next section of this form.**

## Financial institutions

Is the entity an **investment entity** that is resident in a CRS **participating jurisdiction**? ☐ Yes ☐ No

Is the entity an **investment entity** that is not resident in a CRS **participating jurisdiction** and is managed by another **financial institution**? ☐ Yes ☐ No

If you answered 'Yes' to this question, you must also complete the 'Controlling Person Identification' section.

Is the **entity** a **depository institution, custodial institution** or **specified insurance company**? ☐ Yes ☐ No

If you answered 'No' to all of these questions, you may proceed to the next section of this form.

If you answered 'Yes' to any of these questions, you only need to complete the rest of this section and sign and submit the form.

What is your **Global Intermediary Identification Number (GIIN)**?

If the GIIN belongs to a sponsoring entity, provide the name of the sponsoring entity

Is the entity a **Non-Participating Foreign Financial Institution**? ☐ Yes ☐ No

If 'Yes', please complete the [W8-BEN-E](#) form.

## Non-financial entities

Does the entity qualify as:

- an **active non-financial entity**? ☐ Yes ☐ No
- a **passive non-financial entity**? ☐ Yes ☐ No

If the entity is a **passive non-financial entity**, complete the 'Controlling Person Identification' section

If the entity is not a **passive non-financial entity**, you only need to sign and submit the form.

### Examples of **Active non-financial entities** are:

- A governmental entity
- A central bank
- A registered non-profit organisation
- An **international organisation** (i.e. United Nations, World Health Organisation)
- An **entity** whose shares are regularly traded on an established stock exchange or an entity that is related to another entity whose shares are regularly on an exchange
- An **entity** for which less than 50% of the gross income is passive income and less than 50% of the assets held for the production of passive income
- Other **active non-financial entities** as explained in the PSG Wealth CRS and FATCA Self-Certification Form Glossary



## Controlling person identification

All managed **investment entities** outside of a CRS **participating jurisdiction** and **passive non-financial entities** must provide the details of all **reportable controlling persons**.

In addition, each **reportable controlling person** must submit a CRS and FATCA certification for individuals, available [on our website](#).

First name(s) and surname	Identification number	E-mail address	Contact number

Please sign and submit the form.

## Signatures

By signing this form, I confirm that:

- I am authorised to sign this document on behalf of the entity.
- I have given PSG accurate information.
- I will inform PSG immediately if there is any change in circumstances which affect the entity's tax status or tax obligations, or which may change the information provided in this form. I will also provide PSG with an updated self-certification form within 90 days of the change in circumstances.
- The information provided in this form and any other information regarding the investor and any **reportable account** may be provided to the SARS and exchanged with tax authorities of other country(ies) or jurisdiction(s) in which the investor may be tax resident in accordance with intergovernmental agreements to exchange financial account information.

Signature of authorised signatory	<input type="text"/>	Date	<input type="text"/>
Name	<input type="text"/>	Surname	<input type="text"/>
Designation	<input type="text"/>		

## Contact details

**Physical address:** Building 1, The Ingress, Corner of Magwa and Lone Creek Crescents, Waterfall City, Waterfall, 2090, Gauteng, South Africa

**Postal address:** PO Box 61295, Marshalltown, 2107, South Africa

**Sharecall:** 0860 774 774

**Email:** [clientservice@psg.co.za](mailto:clientservice@psg.co.za)

**Website:** [www.psg.co.za](http://www.psg.co.za)