

## Additional Investment

### A. Important information

- Applicants must read the Prospectus including the Fund's Offering Supplement/ Scheme Particulars and the relevant Key Investor Information Document before completing this Conversion Form. Defined terms used in this Form have the same meaning as in the Prospectus.
- All sections of the form must be completed..
- The cut off time for processing transactions is 15h30pm CET on the dealing day provided Funds are cleared.
- The completed form should be emailed to [offshore.instructions@psgadmin.co.za](mailto:offshore.instructions@psgadmin.co.za) or faxed to +27 (11) 263 6099. Original documents to be posted where required.
- Please refer to the rules regarding emailed and faxed application forms as set out in the Authorisation, Declaration and Acknowledgement on the application form.
- Please inform us in writing should any of your personal details change.
- The PSG Wealth funds are only available for investment through a PSG adviser where appropriate solutions have been determined via the PSG Wealth advice process.

### B. Investor details

Investor account number	<input type="text"/>
Investor name	<input type="text"/>
Full name and surname / Entity name	<input type="text"/>
Contact number	<input type="text"/>
Email address	<input type="text"/>
Do you classify yourself as a PEP? (See Application Form).	<input type="checkbox"/> Yes <input type="checkbox"/> No
What industry do you work in?	<input type="text"/>
What is your occupation?	<input type="text"/>
What is the purpose of your investment?	<input type="text"/>
What is the anticipated investment timeframe?	<input type="text"/>

### C. Source of contribution and source of wealth

Source of amount invested\*

Salary	<input type="checkbox"/>	Bonus	<input type="checkbox"/>	Retirement	<input type="checkbox"/>	Savings	<input type="checkbox"/>	Inheritance	<input type="checkbox"/>	Gift	<input type="checkbox"/>	Other	<input type="text"/>
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What is your source of wealth? \*

Please provide details of all sources of wealth \*

\*We may request proof of source of contribution, source of wealth and your annual salary/income.

We only accept deposits (investments) directly from our clients. Deposits from anyone other than a client are not allowed unless the client provides sufficient due diligence documentation on the depositor before the payment is made. No payment received from a third party will be invested until the necessary documentation is received and reviewed.



## D. Investment details

I apply to invest in the funds listed below, in accordance with the provisions of the Supplemental Deed, at the ruling at the prevailing price at the valuation point on the relevant dealing day of the investment funds by PSG Fund Management (Malta) Limited – provided the instruction and funds are received for processing prior to **15h30 GMT** on the relevant dealing day.

Fund Name	Lump sum amount min 1,000, with 1,000 top up in the relevant currency	Initial adviser fee*	Fund Class		Administrative adviser fee*	Ongoing adviser fee*	Fund Class	Ongoing adviser fee (clean classes)**	
		Max of 2.00%		✓	Max of 1.20% in total %	✓		Max of 1.20%	
PSG Global Funds									
PSG Global Equity Sub-Fund			A		1.00%		B		
PSG Global Flexible Sub-Fund			A		1.00%		B		
PSG Wealth Global Funds – For Wealth advised clients									
PSG Wealth Global Flexible FoF (GBP) SICAV plc			A		1.00%		D		
PSG Wealth Global Flexible FoF (USD) Sub-Fund*			A		1.00%		D		
PSG Wealth Global Preserver FoF (GBP) SICAV plc			A		1.00%		D		
PSG Wealth Global Preserver FoF (USD) SICAV plc			A		1.00%		D		
PSG Wealth Global Moderate FoF (USD)			A		1.00%		D		
PSG Wealth Global Creator FoF (USD)			A		1.00%		D		
PSG Investment Management Global Flexible Fund (Dollar)			A		0.50%		D		
* a sub-fund of PSG Wealth Global Funds SICAV plc									

The PSG Wealth funds are only available for investment through a PSG adviser where appropriate solutions have been determined via the PSG Wealth process.

### Annual management fee

PSG Asset Management charges a fee for administrative and related services rendered to investors. This fee is included in the price of the fund.

Fund name	Annual Management Fee			
	Class A	Performance fee	Class B	Class D
<b>PSG Global Funds SICAV plc:</b>				
PSG Global Equity Sub-Fund (USD)	1.35%	No performance fee	0.85%	
<b>PSG International Funds SICAV plc:</b>				
PSG Global Flexible Sub-Fund (USD)	1.00%	7% above High Water Mark	0.50%	
<b>PSG Wealth Global Portfolio Fund</b>				
PSG Wealth Global Moderate FoF (USD)	1.50%	No performance fee		0.40%
PSG Wealth Global Creator FoF (USD)	1.50%	No performance fee		0.40%
PSG Investment Management Global Flexible Fund of Funds (Dollar)	1.50%	No performance fee		
PSG Wealth Global Flexible FoF (GBP) SICAV plc	1.50%	No performance fee		0.50%
PSG Wealth Global Flexible FoF (USD) Sub-Fund	1.50%	No performance fee		0.50%
PSG Wealth Global Preserver FoF (GBP) SICAV plc	1.50%	No performance fee		0.50%
PSG Wealth Global Preserver FoF (USD) SICAV plc	1.50%	No performance fee		0.50%

### Financial Adviser fees\*

*Initial adviser fee:* The financial adviser may receive an initial fee, per agreement with the client.

*Ongoing adviser fee:* The financial adviser may receive an additional ongoing fee, per agreement with the client, and is paid monthly via a sale of units.

*Administrative adviser fee:* This is paid monthly to the financial adviser by PSG Asset Management out of the annual management fee i.e. it is included in the price of the fund.

The administrative and ongoing fee in total may not exceed 1.20% p.a. per portfolio. Refer to the table above for details of such maximums. In respect of initial and ongoing adviser fees, if no fees have been specified, 0% will apply in respect thereof. If a fee higher than the maximum has been specified, the maximum will apply. Initial adviser fees may only be taken in 0.25% increments, and ongoing adviser fees in 0.05% increments. **Please specify all financial adviser fees that have not been**



pre-populated in the above table.

**\*\* Fund clean classes are only available where the investment is facilitated through a financial adviser. No administrative adviser fee is paid to the adviser by PSG Asset Management.**

**Direct investors not advised by a financial adviser**

Direct investors can only invest in the PSG Investment Management Global Flexible fund (Dollar).

I/We, the investor, hereby confirm to PSG Fund Management (Malta) Limited that the FSP indicated in Section E is my appointed financial adviser and authorise the payment of the fees as stipulated in the above table to him/her.

I/We understand that the ongoing adviser fee will be facilitated by the monthly sale of units from my investment before being paid to my appointed Financial Adviser.

I/We understand that the initial and ongoing adviser fees are negotiated between the investor and the Financial Adviser and I cannot hold PSG Fund Management (Malta) Limited liable for acting on these negotiated instructions.

Signature of investor/authorised person  
(First named signatory)

Print name

Signature of investor/authorised person  
(Second named signatory)

Print name

Date

**Banking details**

PSG Asset Management will provide you with our banking details once your instruction has been received.

Deposits should be made payable to PSG Fund Management (Malta) Limited.

Please send your proof of payment to [offshore.instructions@psgadmin.co.za](mailto:offshore.instructions@psgadmin.co.za) once payment has been made.

Please quote your investment or ID/registration number as the deposit reference.

**E. Financial Service Provider (FSP) authorisation**

- I have met with the investor prior to the investment being placed with PSG Fund Management (Malta) Limited and the necessary due diligence process as required by legislation has been satisfactorily carried out.
- I warrant that I have risk rated the client in terms of AML Regulations as follows. A copy of the AML Risk Rating result must be attached with this application.  
Investor AML Risk Rating      High ☐      Medium ☐      Low ☐
- I confirm that I subscribe and adhere to the fit and proper requirement and other statutory obligations and criteria imposed by legislation covering advice dispensed and/or intermediary services rendered to investors.
- I declare that I am required to sign an Intermediary Partner Agreement and I shall comply with the provisions set out in the Intermediary Partner Agreement entered into between myself/ourselves and PSG Fund Management (Malta) Limited.
- I acknowledge that incomplete documentation may, at the discretion of PSG Fund Management (Malta) Ltd, be returned and may, also at the discretion of PSG Fund Management (Malta) Ltd, lead to a delay in processing this Investment Application and/or to the return of the Clients' funds.
- I have ticked the appropriate boxes and supplied relevant information above as confirmation of the original source of the funds being used for this investment (i.e. the activity that generated the funds). I confirm that to the best of my knowledge and belief the Client is of good standing and the information provided in relation to the source of the funds is true and complete.
- I am unaware of any activities in which the Client is involved which lead me to suspect that the Client is involved in any criminal activity and/or money laundering/terrorist financing.
- I understand the risks for clients associated with investing in International Funds and have conducted a thorough investigation on the suitability of these investments for my Client.
- I confirm that I have only promoted to my Client's funds that I am aware may be lawfully promoted to them in my jurisdiction.



Name of FSP

FSP number

Name of financial adviser

PSG Offshore Asset Management adviser code

Email address

Signature of financial adviser

Date

## F. Declaration

### IMPORTANT INFORMATION

- The buying price of units may include a non-recurring initial charge and compulsory charges. An annual management fee is levied monthly on the market value of the share portfolio. The fees may vary between funds. A schedule of fees and charges and maximum commissions is available from the Management Company.
- Statements will be sent on a quarterly basis.
- I hereby acknowledge that I have received and considered the latest Offering Supplement/ Scheme Particulars in connection with the Fund and that this application is made on the terms enclosed both therein and in the Fund's principal documents.
- Should PSG Fund Management (Malta) Limited be prevented from fulfilling any of its obligations in terms of this application as a result of an event not within the reasonable control of PSG Fund Management (Malta) Limited, those obligations shall be deemed to have been suspended to the extent that and for as long as PSG Fund Management (Malta) Limited is so prevented from fulfilling those obligations.
- I have read and understood the Minimum Disclosure Documents and Declarations.
- I understand that this additional investment is subject to the terms and conditions laid out in my original application and that I remain bound by such conditions contained therein.
- I have performed the Effective Annual Cost calculator and obtained all costs applicable to my planned investment.
- There have been no changes in my tax or PEP status since my initial application.

Signature of investor/authorised person  
(First named signatory)

Signature of investor/authorised person  
(Second named signatory)

Date

Print name

Print name

## G. Effective Annual Cost

The Effective Annual Cost (EAC) is a measure which has been introduced to allow you to compare the charges you incur and their impact on investment returns when you invest in different Financial Products. It is expressed as an annualized percentage.

The EAC is made up of four components, which are added together, as shown in the results of the EAC Calculator available on our website. The effect of some of the charges may vary, depending on your investment period. The EAC calculation assumes that an investor terminates his or her investment in the Financial Product at the end of the relevant periods shown in the table. We recommend that you perform the EAC calculation before investing. To access the Effective Annual Cost Calculator in respect of the foreign currency denominated funds, please follow the link at [EAC Calculator](#).



## KNOW YOUR CLIENT – DUE DILIGENCE REQUIREMENTS

Additional or updated documentation may be requested in certain circumstances. (Please ensure information is provided in English, or that the relevant sections have been translated. Kindly note that translations must be dated, signed and certified by an independent person of proven competence, confirming that it is a faithful translation of the original).

AML Regulations requires accountable institutions, including Financial Advisers, to perform a risk rating of all investors. A copy of the AML Risk Rating result must be attached with this application.

Individual	Trust
<p><b>Identification</b></p> <p><b><u>Certified copy of one of the following confirming the identity:</u></b></p> <ul style="list-style-type: none"> <li>• Current Valid Passport</li> <li>• National Identity Card or similar government-issued Document</li> <li>• Driving License (including photograph)</li> <li>• Armed Forces Identity Card (must be clear)</li> <li>• Please include two (2) specimen signatures at the bottom of the document</li> </ul> <p><b>Confirmation of address</b></p> <p><b><u>Certified copy of one of the following confirming the residential address:</u></b></p> <ul style="list-style-type: none"> <li>• A utility bill</li> <li>• A council tax bill/assessment</li> <li>• An income tax form/extract</li> <li>• A bank statement from a recognized credit institution</li> <li>• Any government-issued document as listed above, where a clear indication of residential address is provided</li> <li>• (All above to be less than three months old)</li> </ul> <p><b>Proof of tax registration</b></p>	<p><b>Identification</b></p> <ul style="list-style-type: none"> <li>• Trustees - as per individual requirements, or company requirements for corporate Trustees</li> <li>• Certified copy or extract from Regulator's website of the authorization of the trustee to act in such capacity</li> <li>• All other parties to the trust (Principle Beneficiaries, Settlers and Protectors) - as per individual identification requirements</li> <li>• Authenticated relevant extract or full certified copy of Trust deed pages showing name of trust, the parties to the trust and the signature pages and, the nature and purpose of the trust, and country of establishment</li> </ul> <p><b>Confirmation of address</b></p> <ul style="list-style-type: none"> <li>• Trustees - as per individual requirements</li> <li>• Beneficiaries - as per individual requirements</li> <li>• Settlor - as per individual requirements</li> <li>• Protector - as per individual requirements</li> <li>• Related Due Diligence</li> <li>• List of names and addresses of all Trustees</li> <li>• Authorised signatory list including specimen signatures</li> <li>• Trustees resolution authorizing the investment</li> </ul>
Corporation	Partnership
<p><b>Identification</b></p> <ul style="list-style-type: none"> <li>• Certified copy of the Certificate of Incorporation</li> <li>• Board resolution authorising the investment</li> <li>• Latest Annual Reports and Accounts (or for listed companies, details of listing i.e. exchange and company codes)</li> <li>• Authorised signatory list (with specimen signatures)</li> <li>• A certified copy of the Memorandum and Articles of Association</li> <li>• A copy of the Shareholder's Register</li> <li>• A certified copy of the organisational structure and explanation of ownership</li> <li>• If the company is not either listed on a recognized exchange, or a stockbroker, then please also supply the following:</li> <li>• Full name(s), place(s) and date(s) of birth, permanent resident address(es) and nationality of all directors and all shareholders with at least 25% interest, and any other person/entity with control over the company's assets</li> <li>• Each person with at least 25% interest or persons exercising control over the company's assets needs to supply full due diligence as per individual requirements (i.e. Identification and Confirmation of Address)</li> </ul> <p><b>Certification of documents</b></p> <p><b>The following people are permitted to certify the documentation</b></p> <ul style="list-style-type: none"> <li>• Lawyer</li> <li>• Chartered Accountant</li> <li>• Serving Police or Customs Officer</li> <li>• Notary Public</li> <li>• Member of Judiciary</li> <li>• Senior Civil Servant</li> <li>• Actuary</li> <li>• An Embassy, Consulate or High Commissioner of the country of issue of the document</li> <li>• Director, Officer or Manager of a regulated financial services business</li> <li>• (e.g. Bank Manager) operating in an equivalent jurisdiction to Malta</li> </ul>	<p><b>Identification</b></p> <ul style="list-style-type: none"> <li>• Latest Annual Reports and Accounts</li> <li>• A certified copy of the Partnership Agreement</li> <li>• A certified copy of the organizational structure and explanation of ownership</li> <li>• Authorised signatory list (with specimen signatures)</li> <li>• Resolution of the partners</li> <li>• Each beneficial owner with at least 25% interest or persons exercising control over the management of the partnership need to supply full</li> <li>• due diligence as per individual requirements (i.e. Identification and Confirmation of Address)</li> </ul> <p><b>The certification should contain the following information</b></p> <ul style="list-style-type: none"> <li>• Signature of the certifier</li> <li>• Printed name of the certifier</li> <li>• Position title</li> <li>• Date</li> <li>• Contact details</li> </ul>



PSG Fund Management (Malta) Limited was registered in Malta on the 8<sup>th</sup> January 2013 and is wholly owned subsidiary of PSG Asset Management Group Services (Pty) Limited, a company incorporated in South Africa and ultimately owned by PSG Financial Services Limited which is listed on the JSE Securities Exchange. PSG Fund Management (Malta) Limited as a UCITS/AIFMD manager is authorised and licensed with the Malta Financial Services Authority ("MFSA") under the Investment Services Act (Cap. 370, laws of Malta) ("ISA"). PSG Asset Management Group Services (Pty) Limited as appointed fund administrator is licensed as a juristic representative of PSG Asset Management (Pty) Limited under license No: 29524 with the Financial Sector Conduct Authority ("FSCA") of South Africa.

Investors in these funds are not eligible for the payment of any compensation under the Investor Compensation Scheme Regulations issued under ISA.

## Company details

### Representative Agreement

PSG Collective Investments (RF) Ltd  
Registration Number 1997/016475/06  
1st Floor, PSG House, Alphen Park, Constantia Main Road | Constantia, 7806 | Private Bag X3, Constantia, 7848  
Toll Free Line: 0800 600 168 | Tel: +27 (21) 799 8000  
**[psg.co.za/asset-management](http://psg.co.za/asset-management)**

### Manager Malta

PSG Fund Management (Malta) Limited  
SmartCity Malta, SCM1001, Unit 201, Ricasoli, Malta  
Company Registration Number C58898 Malta Tel: +356 21807586  
**[psgkglobal.com](http://psgkglobal.com)**